

PRIVACY POLICY

Authorised for distribution by Forrest Private Wealth Management Pty Ltd

(1st July 2020, Version: 1.1)

Forrest Private Wealth Management Pty Ltd and Forrest Private Wealth Pty Ltd ("Forrest") are committed to providing professional services and respecting the privacy of your personal information. We are bound by the Australian Privacy Principles under the Privacy Act 1988.

This policy explains how Forrest will collect, store, verify, use and disclose the information we hold about you and the conditions under which your information may be accessed.

Collection of Personal Information

Forrest collects and maintains personal information that is necessary to enable us to deliver our services or products or otherwise for our primary business functions and/or activities.

In the normal course of our business, we only collect information about you when you provide it to us or it is provided to us under your authority.

On occasions we may collect personal information about you from third parties, for example credit reporting agencies, share registries or regulatory authorities. Information that we collect will be limited to that required to provide you with our services.

What type of information does Forrest hold?

The type of information we collect and hold about you varies depending on the type of product or service you require. For example, Forrest will hold different information about you if you have a life insurance policy than we will hold if you have a managed investment.

The personal information we hold about you may include:

- employment details and employment history;
- details of your financial needs and objectives;
- details of your current financial circumstances, including your assets and liabilities, income,

expenditure, insurance cover and superannuation;

- details of your investment preferences and aversion or tolerance to risk;
- information about your employment history, employment circumstances, family commitments;
- Social security eligibility;
- Any other relevant information that you provide to us to enable us to provide suitable recommendations.
- We may also need health information from you to provide you with risk advice.

Failure to provide the personal information referred to above may expose you to higher risks in respect of the recommendations made to you and may affect the adequacy or appropriateness of advice we give to you.

We will only collect, maintain and use your Personal Information to adequately provide the services you have requested including:

- the preparation of financial recommendations;
- the provision of financial planning and credit activities to you;
- making financial recommendations;
- reviewing your financial position, risk management and objectives;
- reviewing your financial holdings.

In most circumstances, the information that we hold about you has been provided to us by you or under your authority. If we have received information that is not required to provide services to you, we will either advise you that we hold this information or destroy it.

Other purposes for which we may need to collect and use your personal information will include:

- To comply with legislative and regulatory requirements (including the AML/CTF 2006 Act),